

ORDINANCES

OF

SHARDA UNIVERSITY

(UNDER SECTION 35 OF THE U.P. PRIVATE UNIVERSITIES ACT, 2019)



Plot no. 32, 34, Knowledge Park-III
Greater Noida 201310 (U.P.) INDIA

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ORDINANCES OF THE UNIVERSITY

1. SHORT TITLE AND COMMENCEMENT

- 1.1 The “**Ordinances**” shall mean the Ordinances of Sharda University, Greater Noida, Uttar Pradesh, framed under section 35 of the Uttar Pradesh Private State Universities Act, 2019.
- 1.2 They shall come into force with effect from the date of approval of the Executive Council.
- 1.3 Nothing in these Ordinances shall be deemed to debar the University to amend these Ordinances subsequently.
- 1.4 Unless provided otherwise, the regulations, orders, memos, codes, procedures, policies, schemes etc., on the subjects covered under these Ordinances, shall cease to operate from the date of enactment of these Ordinances.
- 1.5 Any abbreviation or shortened form of a word shall represent and mean exactly that word as defined in the Act, the Statutes, these Ordinances, Manuals and such other documents of the University, as may be framed from time to time.

2. DEFINITIONS

- 2.1 “**ABC**” means Academic Bank of Credit
- 2.2 “**Act**” means the Uttar Pradesh Private Universities Act, 2019.
- 2.3 “**Aggrieved Student**” means a student, who has any complaint in the matters relating to or connected with the grievances defined under these Ordinances.
- 2.4 “**APAR**” means Automated Permanent Academic Account Registry
- 2.5 “**BOS**” means Board of Studies of a Department/ School as prescribed by the Statutes.
- 2.6 “**Class**” means specific group of students meeting for specific instructional purposes that may include a lecture, tutorial, practical, studio, workshop session.
- 2.7 “**Clause**” means duly numbered Clauses of these Ordinances.
- 2.8 “**Commission**” means the University Grants Commission established under the UGC Act, 1956.

- 2.9 **“Continuing Student”** means a student who has completed at least one semester prior to the current semester.
- 2.10 **“Course detail”** means the detailed teaching scheme of a course.
- 2.11 **“Course”** means a component of a programme. All courses need not carry the same weightage. The courses shall define learning objectives, learning outcomes, and evaluation scheme. A course shall be designed to comprise lectures/ tutorials/ laboratory work/ field work/ outreach activities/ project work/ vocational training/ viva/ seminars/ term papers/ assignments/ presentations/ self-study, etc. or a combination of some of these.
- 2.12 **“Credit”** determines the number of hours/ periods of instructions required per week. One credit is equivalent to one hour/ period of teaching (lecture or tutorial) or two hours/ periods of practical work/ field work per week.
- 2.13 **“Declared Admission Policy”** means such policy, including the process there under, for admission to a programme of study as may be offered by the University by publication in the prospectus of the University.
- 2.14 **“Department”** means the Department of Studies and includes Centre of Studies and Research.
- 2.15 **“Disciplinary Probation”** refers to the status assigned to a student on committing an act of misconduct, academic or otherwise and once assigned, the student remains on disciplinary probation for the period decided by the Vice Chancellor.
- 2.16 **“Enrolment Number”** means a unique number allotted as System ID, to a student on his admission in the University. Enrolment number/ System ID remains unchanged throughout the duration of student’s stay at the University.
- 2.17 **“ESE”** means End-Semester Examination.
- 2.18 **“Ex-Student”** means a student who has failed in a course(s) after appearing in final year/ semester examinations and who registers afresh to pass the said course(s) on payment of the prescribed fee.
- 2.19 **“Expulsion”** means the permanent removal of a student from the University rolls with the prohibition on future enrolment.

- 2.20 **“Faculty Board”** of each School of studies shall be constituted as per the provision of Section 25 of the Statutes.
- 2.21 **“Fresher”** means a student who is matriculated into a programme for the first time.
- 2.22 **“Faculty or School”** means an institute or school established by the University in accordance with its Act and the Statutes.
- 2.23 **“Government”** means Government of Uttar Pradesh.
- 2.24 **“He”** includes She and **“His/ Him”** includes Her.
- 2.25 **“LTPC”** means hours of Lecture, Tutorial and Practical per week and **“C”** refers to the total credit of a course.
- 2.26 **“Matriculation”** means registration of provisionally admitted students in the concerned Department/ School.
- 2.27 **“Mentor”** means a faculty member who acts as counsellor, guide and motivator to a group of students (mentees) assigned to him. He also acts as career guide to his mentees and advises them on course specific and programme specific information.
- 2.28 **“MEME”** means Multiple Entry and Multiple Exit.
- 2.29 **“MSE”** means Mid-Semester Examination.
- 2.30 **“NCrF”** National Credit Framework
- 2.31 **“NEP”** means National Education Policy-2020.
- 2.32 **“NHEQF”** means National Higher Education Qualification Framework
- 2.33 **“NSQF”** National Skill Qualification Framework
- 2.34 **“Practical”** includes lab work/ project/ dissertation/ field work/ studio/ jury/ moot court/ clinical posting/ survey and similar hands-on activities related to previously learnt principles/ theory in the chosen field of learning under the supervision of an expert or qualified individual.
- 2.35 **“Pre-requisites”** means conditions that must be met before a student can register for a course.

- 2.36 **“Programme”** means an educational programme leading to the award of a Certificate, Diploma or Degree.
- 2.37 **“Programme Detail”** means the year-wise well-structured teaching scheme of programmes which may include courses from major, minor, multidisciplinary, interdisciplinary, ability enhancement, skill enhancement, value-added, internship/ apprenticeship, capstone, community connect, project/ dissertation/ research-project, etc.
- 2.38 **“Prospectus”** means and includes a publication in the form of a booklet or brochure, whether in print or otherwise, for providing fair and transparent information to the general public (including to those seeking admission) by the University or any authority or person authorized by it to do so on its behalf.
- 2.39 **“Roll Number”** is the number issued to every student by the Controller of Examinations and shall remain valid for the total duration of the Programme.
- 2.40 **“Rustication”** from the University means the withdrawal of right to access to the entire premises and facilities of the University campus for a specified period and/ or till the fulfillment of specified conditions.
- 2.41 **“Student Grievance Redressal Committee”** (SGRC) means committee(s) constituted under this Ordinance at the level of the University, for dealing with grievances of the students.
- 2.42 **“Semester”** shall consist of 15-18 weeks of academic work equivalent to 90 teaching days.
- 2.43 **“Student”** means a person enrolled or seeking to be enrolled in a programme of the University.
- 2.44 **“Suspension”** from the University means withdrawal of the right to access to all or some of the facilities or premise of the University as an interim measure, pending further investigation.
- 2.45 **“Syllabi”** means details of the course and includes description of nature, duration, pedagogy, syllabus, eligibility and related details.

2.46 “**Tutorial**” means courses involving problem-solving and discussions relating to a field or discipline under the guidance of qualified personnel in a field of learning, work/ vocation, or professional practice.

2.47 “**University**” means the Sharda University Greater Noida established under an enactment of the Uttar Pradesh State Legislature (Act No. 14 of 2009) which has since been subsumed under Uttar Pradesh Private Universities Act, 2019; and definitions specified in the University Act and the Statutes shall *ipso facto* apply, unless provided otherwise.

3. ADMISSION AND ENROLMENT OF STUDENTS

3.1 Admission to different programmes of the University shall be based on merit, providing equal opportunities to all without any prejudice towards the gender, religion, caste, creed or nationality of a candidate.

The University may provide suitable provisions for reservation for the students belonging to weaker section(s) of the society and others in accordance with the provisions of the Act of the University and the decision of the Executive Council.

3.2 The admission criteria recommended by the Admission Committee and approved by the Academic Council shall be followed for admission in all the programmes of studies.

Provided that the number of seats in each programme of study, as determined by the Admission Committee and approved by Academic Council may, at the discretion of the Vice Chancellor in his capacity as the Chairman of the Academic Council, be increased by a maximum of 15% of the approved seats for additional intake of students in the said program. This proviso, however, shall be subject to the condition that there are no overhead costs of faculty and resources.

In general, the criterion prescribed by the respective Statutory Councils shall be followed in admission to council-based programmes. However, the university may decide to have intake less than that prescribed, provided the same is approved by the Academic Council of the university.

3.3 The University may make provisions for admission through lateral entry in programmes, as may be approved by the relevant competent authority. The admission in various academic programmes may also be facilitated through the Multiple Entry

Multiple Exit (MEME) guidelines issued by the UGC, in pursuance of the NEP-2020. The qualification type and credit requirements for entry or exit at various academic levels (Level 5 to Level 10) shall be as per the SOP for operationalization of NCrf at Higher Education Institution.

- 3.4 The registration of the provisionally admitted and continuing students shall be done by the School(s)/ Department(s) on dates notified in the Academic Calendar.

3.5 Enrolment

3.5.1 The student shall be given unique and permanent enrolment number and issued with an identity card bearing enrolment number (i.e. system ID), and same must be quoted by the student in all communications with the University.

3.5.2 The office of the Registrar and Internal Quality Assurance Cell shall maintain a record of all enrolled students studying in the various Departments/ Centres/ Schools of the University or carrying research in the University.

3.5.3 A student applying for change of his name in the record of students, shall submit his application to the Registrar accompanied by

- a. The prescribed fee;
- b. An Affidavit relating to his present and proposed name, duly sworn in the presence of a Magistrate by himself;
- c. A publication in a newspaper in which the proposed change of name has been advertised. However, the provision relating to publication shall not be applicable in case where a woman student wants to change in her name following her marriage in which case marriage certificate indicating the new name shall be valid.

3.6 Migration Of Students

Inter-university migration of students may be allowed in special circumstances as per the policy notified on the subject. It shall be allowed under special circumstances on the recommendations of the Equivalence Committee, appointed by the Vice-Chancellor under the Dean Academic Affairs.

If a student takes a Migration Certificate to join another University, his enrolment to the University shall lapse until such time as he may subsequently return with a Migration Certificate from that University, to take admission in another programme of this University. Fresh enrolment in such cases shall be necessary.

3.7 Transfer Of Programme

A first-year student, subject to the availability of seats and fulfilling the minimum qualifications, may be allowed to change his Programme on the recommendation of the Equivalence Committee appointed by the Vice Chancellor under the Dean, Academic Affairs.

3.8 Procedure Of Withdrawal by the Student

A student may withdraw his admission as specified by the University on or before the prescribed date. The University will refund the deposited amount after deducting the processing fee in accordance with the guidelines issued by the concerned regulatory authority, from time to time.

4. COURSES OF STUDY FOR CERTIFICATE, DIPLOMA, AND DEGREE PROGRAMMES

4.1. Programmes of Study

4.1.1 The University on the recommendations of the Academic Council and with the approval of the Executive Council, shall offer under-graduate and post-graduate programmes in areas of Agriculture, Allied Health Sciences, Anthropology, Archaeology, Architecture, Basic and Applied Sciences, Business Studies, Commerce, Culture, Design, Dental Science, Economics, Education, Engineering and Technology, Geography, History, Hotel and Hospitality, Humanities, Languages, Law, Life Sciences, Literature, Management, Media and Journalism, Medical Sciences, Nursing, Pharmacy, Philosophy, Political Science, Psychology, Social Sciences, and in other streams as approved from time to time. The University may also run Diploma programmes and Certificate courses of shorter durations.

4.1.2 The University shall also offer doctoral and/ or post-doctoral programme in chosen areas of specialization in regard to the degree programmes.

- 4.1.3 The nomenclature of various degrees shall be in consonance with the regulations framed by the regulatory authorities as defined in the Act, or as may be approved by the Executive Council.
- 4.1.4 The structure and duration of the academic programmes shall be as approved by the Academic Council.
- 4.1.5 The University shall make provisions in its regulations to enable students to concurrently convert their programme of studies into integrated degree programmes of durations approved by the Academic Council wherever permissible by the statutory regulatory bodies.
- 4.1.6 NCrf recognizes two types of experiential learning i.e. within academic or vocational programmes and through employment post-completion. Credits are awarded based on the basic principles of NCrf for both scenarios.

Recognition of Prior Learning (RPL)

Through NCrf, the NEP-2020 recognizes RPL, allowing students, learners, and the workforce to receive credit for the knowledge and skills they have acquired through formal, non-formal, traditional, or other methods.

Pursuing two degrees

In accordance with the UGC guidelines, a student can pursue two full time academic programmes simultaneously in physical mode/ Online Distance Learning or combination of both, provided that in such cases, class timings for one programme do not overlap with the class timings of the other programme.

- 4.1.7 The University may also run courses through distance learning, correspondence, and online mode. The guidelines of University Grants Commission, however, are to be complied with.
- 4.1.8 (a) The administrative structure of a School shall be as approved by the Executive Council. The Executive Council shall also lay guidelines for smooth running and effective management of academic programmes, the cooperation and coordination amongst the Schools and the linkages with other academic and research organisation(s) as well as Industry.

- (b) All the academic programmes framed by Board of Studies of a Department and concurred in by the Faculty Board of the School shall be submitted to the Academic Council for approval by the Executive Council.
- (c) The Executive Council as and when deemed necessary, may appoint committee(s) for periodic review of a programme, a Department or a School.

4.2 Registration of Students

4.2.1 Academic Registration

- (a) The Head of the Department/ Dean of the School shall issue the list of courses to be offered during the semester well before the Registration date(s) specified in the Academic Calendar.
- (b) Every student shall register himself for the prescribed courses that he wishes/ opts to pursue in that semester.
- (c) Programme-coordinators/ mentors amongst the faculty members of the Department will provide necessary information on the courses and advise the students on the course registration.

4.2.2 Registration for Semesters

- a. Registration for each semester has to be done on date(s) notified in Academic Calendar. It will involve:
 - i. Clearance of all dues of the University; however merely depositing of the fee will not amount to academic registration.
 - ii. After completing the online process for academic registration on or before the dates specified in the Academic Calendar, it is mandatory for the students to verify and sign in person, the course registration document available with the respective mentors.
- b. A student must ensure that he satisfies the pre-requisites, if any, for each course he registers for. The sole responsibility for registration rests with the student.

- c. In case a student is registering for course(s) other than those prescribed in the regular scheme for that semester, the University shall not be responsible for a clash-free scheduling of such course(s). Student himself shall verify the time-table and ensure that the regular course(s) do not clash with other course(s) he is choosing.
- d. The student will not be allowed to undergo academic registration if the 'Maximum Duration for Completion of the Degree/ Diploma/ Certificate does not permit so.
- e. A student will not get any credit for a course for which he has not registered or has registered without being eligible.

4.2.3 Late Registration

- (a) The maximum time permitted for late registration on payment of prescribed late fee shall be one week from the last date of registration as specified in the Academic Calendar.
- (b) Late registration may be allowed, beyond the period of one week, by the Vice Chancellor or his nominee only on valid reasons and on the recommendations of the Mentor, Head of Department, Dean of School and Dean Academic Affairs.
- (c) Late Registration may be allowed for a student who is on disciplinary probation, only with the approval of the Vice-Chancellor on recommendations as mentioned in 4.2.3 (b).
- (d) Students registering late may be allowed to compensate for the loss of any component of continuous assessment by the Dean of the School. The student may be allowed to complete the same on date(s) decided by the Dean of the School.
- (e) The Dean of the School can proceed with the withdrawal of those students, who fail to register within 15 days from the last date of registration, with the approval of the competent authority.

4.3 Adding and Dropping of Courses

- (a) A student on the recommendations of his Mentor, duly endorsed by his Head of the Department and recommended by the Dean to add or drop course(s) as notified in the Academic Calendar with the approval of the Dean Academic Affairs.

- (b) A student who is on disciplinary probation shall be allowed to change the course, only with the approval of the Vice-Chancellor, within two weeks.
- (c) A student shall be asked to drop a course within two weeks:
 - (i) if it is found that he does not meet the pre-requisites of the course, or
 - (ii) if there is a clash in the student's timetable preventing him from attending the course, or
 - (iii) if he is found not entitled to register for that course for any other reason(s).
- (d) A student shall be allowed to take any course out of his active backlogs if time table permits, within the permissible credit limits.

4.4. Maximum Duration for the Completion of a Certificate, Diploma and Degree Programme

4.4.1 The maximum duration for completion of a degree or a diploma programme, shall be N+2 years, where N, stands for the normal or minimum duration prescribed for completion of any Programme;

Provided, that in exceptional circumstances a further extension of one more year may be granted. The Vice-Chancellor may consider allowing an extension by one year beyond N+2 years for completion of a degree on case to case basis, depending on the merit of each case.

4.4.2 The enrolment of the student, who fails to complete the requirements of the award of a certificate/ diploma/ degree in extended duration, shall stand cancelled and respective certificate/ diploma/ degree shall not be awarded;

Provided that the student may be considered for the award of a certificate or diploma or degree, in case he has earned the requisite credits, wherever permissible.

4.4.3 The time taken to improve the grade/ CGPA shall be counted in '**Maximum Duration allowed for completion of a Programme**'.

4.5 Duration of the Semester

4.5.1 The date of commencement and conclusion of Odd Semester and Even Semester shall be as notified in the Academic Calendar.

4.5.2 The duration of each semester shall be on an average 15-18 weeks excluding examinations - 6 days a week-with two Saturdays off.

5. MEDIUM OF INSTRUCTION AND EXAMINATIONS

English shall be the medium of instruction and examinations. The University may, however, decide to introduce regional language(s) as a medium of instruction and examination.

6. UNIVERSITY FEE

6.1 The fee structure of various programmes shall be concomitant with the objective of providing quality education to the University students.

6.2 The tuition, examination and any other fee chargeable from the students shall be as recommended by the Fee Fixation Committee and approved by the Executive Council keeping in view of the provisions of Section 48 of the Act. The fee revision shall be duly notified.

The revised fee shall be applicable to all the students admitted in that Academic year and onwards.

7. AWARD OF FELLOWSHIPS, SCHOLARSHIPS, MEDALS AND PRIZES

7.1 The Fellowships, Scholarships, Medals and Prizes shall be instituted with the approval of the Executive Council.

7.2 The Vice Chancellor may appoint committee(s) to frame guidelines for the award of fellowships, scholarships, medals and prizes.

7.3 The eligibility criteria, values, tenure, methodology and procedure for selection of awardees shall be as approved by the Executive Council.

8. EXAMINATIONS AND EVALUATION

8.1 Registration for Examination

- (i) No student shall be admitted to any examination of the University, unless he has been duly enrolled/ registered as a student for the prescribed number of courses, except in the case of an Ex-Student.
- (ii) A student who fails to submit the registration form (every semester) shall be deemed as an unregistered student and shall not be allowed to attend classes and take examinations even if he has paid the fees.

A. For Council-based Programmes

For all Council-based programmes, the University shall follow the rules and regulations of the respective Councils for assessment and evaluation.

B. For Non-Council based Programmes

8.2 Attendance Requirements

8.2.1 Course-Wise Attendance

A student is expected to attend all the classes consisting of lectures, tutorials, labs, and workshop sessions. A student may be debarred from appearing in the examinations of one or more courses for shortage of attendance as enumerated below:

- (a) Attendance shall be monitored course-wise.
- (b) A student must have minimum 75% attendance in each course to be eligible to appear in the Mid-Semester Examination (MSE) as well as in the End-Semester Examination (ESE) of that course.
- (c) Students will be given a 10% attendance benefit to participate in approved co-curricular and extra-curricular activities if prior approval has been taken from the competent authority.
- (d) If a student is eligible for the End-Semester Examination based on attendance in the class, but is not able to take the examination due to any reason, then he/ she can appear in that ESE at the next time. He/she

will not need to take classes again and the marks earned by him in the CA and MSE shall be taken into account while determining overall marks in the course.

- (e) In extreme cases of hardship on account of hospitalization of self, immobilization for valid reasons, or in case of natural calamity, the Dean of the School may recommend attendance to the extent of 10%, provided the claim is duly supported by requisite documents to the satisfaction of the Dean concerned/ the medical committee constituted by Dean Academic Affairs for which the case is referred to.
 - i. In case of hospitalization, complete medical treatment records of the hospital, may be verified by the University.
 - ii. In case of natural calamity, the official government notification relating to the calamity in the concerned region will suffice.
- (f) In case a student has been allowed to change the course or programme during the current semester, the classes attended in previous course/ programme will also be considered in the calculation of attendance, and the eligibility for appearing in examinations will be determined accordingly.
- (g) Irrespective of whether a continuing student has registered late or on time, calculation of attendance shall be based on all the classes held, and not from the date on which the student has registered, unless otherwise decided by the Dean Academic Affairs.

8.3 Debarring from Examination

- i. A student who has less than 75% attendance will not be able to take the End-Semester-Examination (ESE) and such students will be designated as **‘debarred’** students.
- ii. A debarred student shall be awarded ‘DEB’ grade in the End-Semester Examination.

8.4 Components of Evaluation

Unless provided otherwise in the Course and/ or Programme details, the components

of Evaluation for each course shall be as under:

Theory Courses

- (a) Continuous Assessment (CA) : 25 marks
- (b) Mid-Semester Examination (MSE) : 25 marks
- (c) End-Semester Examination (ESE) : 50 marks

To pass a course (theory/ major/ minor/ core), it will be necessary to score a minimum of 17 marks (33% of 50) out of a maximum of 50 marks in the ESE, and score minimum 33% marks in the aggregate in CA, MSE and ESE.

To pass in each course (theory and practical) of co-curricular/ minor research courses, it will be necessary to score minimum 20 marks out of maximum 50 marks (40% of 50) in ESE, and score minimum 40 marks in the aggregate in CA, MSE and ESE.

Laboratory/ Practical Courses:

- (a) Continuous Assessment (CA) : 60 marks
 - (i) Practical Records File
 - (ii) Performance in conduct of experiments/ results reported during the semester
 - (iii) Viva-Voce throughout the semester
- (b) End-Semester Examination (ESE) : 40 marks

To pass in practical courses, it will be necessary to score minimum 20 marks out of maximum 50 marks (40% of 50) in ESE, and score minimum 40 marks in the aggregate in CA, MSE and ESE.

For practical examinations, external examiners will be appointed by the Dean Academic Affairs on the recommendation of the HoD/ School Dean.

8.5 Conduct of Examinations

The Mid-Semester and End-Semester examinations shall be conducted by the Controller of Examination of the University on the date(s) notified in Academic Calendar.

8.6 Use of Unfair Means

An Examination Manual shall provide for what constitutes use of unfair means and penalties there for.

8.7 System of Grading

Unless otherwise prescribed by the statutory regulatory authority of a Programme, the University shall use a ten-point broad-band grading system.

- i. Each Programme shall have prescribed number of credits assigned to it, depending upon the academic load of the course determined by the weekly contact hours. The credits assigned shall be provided in course details.
- ii. The credits assigned to a course reflect its weightage in determination of the Grade point. The courses that have not been assigned any credit shall be treated as non-credit courses.

8.7.1 Award of Grades

Based on the marks obtained in the courses studied by a student, a letter grade shall be awarded to each course using an absolute or relative grading system. The following letter grades shall be used to assess a student's performance:

| Letter Grades | Description | Grade Points |
|----------------------|--------------------|---------------------|
| O | Outstanding | 10 |
| A ⁺ | Excellent | 9 |
| A | Very Good | 8 |
| B ⁺ | Good | 7 |
| B | Above Average | 6 |
| C | Average | 5 |
| P | Pass | 4 |
| F | Fail | 0 |
| AB | Absent | 0 |

The following grades shall be used for non-credit courses:

| Grades and Grade Points | | |
|--------------------------------|--------------------|---------------------|
| Letter Grades | Description | Grade Points |
| Q | Qualified | 0 |
| NQ | Not Qualified | 0 |

For computation of grade point, the University follows both relative and absolute grading, wherever applicable.

8.7.2 General guidelines for the award of grades

- (i) Evaluation of different components of a course outlined in the course plan shall be done in marks.
- (ii) The marks obtained in various components shall be added to get total marks secured on a 100-point scale for theory and laboratory courses.
- (iii) The End-Semester Examinations' question paper shall cover all the sections of the syllabus.
- (iv) The grades shall be notified with the approval of Vice-Chancellor on the recommendations of the Grade Moderation Committee (GMC). The GMC shall consist of:

- (i) Dean Academic Affairs - Chairman
- (ii) Dean of the School - Member
- (iii) Controller of Examination - Convener

8.7.3 Semester Grade Point Average (SGPA)

The Semester Grade Point Average is a weighted average of the grade points earned by a student in all the courses credited and describes his academic performance in a semester. If the grade point associated with the letter grades awarded to a student are say, g_1, g_2, g_3, \dots and the

corresponding weightage is (credits) are say, w_1, w_2, w_3, \dots the SGPA is given by:

$$SGPA = \frac{w_1g_1+w_2g_2+w_3g_3+\dots}{w_1+w_2+w_3+\dots}$$

Thus, for j^{th} semester

Here,

C_i = number of credits of the i^{th} course in j^{th} semester

$$SGPA(S_j) = \frac{\sum(C_i \times G_i)}{\sum C_i}$$

G_i = grade point scored by the student in the i^{th} course in j^{th} semester.

8.7.4 Cumulative Grade Point Average (CGPA)

The Cumulative Grade Point Average indicates overall academic performance of a student in all the courses registered up to and including the latest completed semester. It is computed in the same manner as SGPA, considering all the courses (say, n), and is given by:

$$CGPA = \frac{\sum_{i=1}^n w_i g_i}{\sum_{i=1}^n w_i}$$

$$CGPA = \frac{\sum(C_j \times S_j)}{\sum C_j}$$

Here,

S_j = SGPA of the j^{th} semester

C_j = total number of credits in the j^{th} semester

Note:

- (i) *A student shall be required to complete successfully all the courses of the curriculum prescribed for the Programme and attain a minimum level of academic performance, by way of obtaining a minimum CGPA of 5.0 in UG Programmes as well as PG Programmes, until otherwise decided by the Academic Council.*
- (ii) *A student shall normally have to clear his backlogs in the sequence in which he has obtained the low grades.*

(iii) *A copy of the grade card is issued to each student at the end of a Semester. A duplicate copy, if required, can be obtained on payment of the prescribed fee.*

(iv) *If a student fails at the end of a semester to achieve minimum required SGPA, he shall be placed on ACADEMIC PROBATION during the semester immediately following. He may either repeat the course(s) in which he has obtained 'P' or 'F' grade or opt for a new course of the same category/ discipline/ subject as and when offered, in order to complete the credit requirement.*

8.7.5 Conversion factor for converting CGPA into marks equivalent

If a conversion to marks is required, the following formula shall be used to calculate the same:

The equivalent percentage of Marks= CGPA × 10.

8.8 Minimum CGPA Requirement for award of Certificate/ Diploma/ Degree

8.8.1 Certificate Programme

Criterion as decided by the University from time to time shall be applicable.

8.8.2 Diploma Programme

Criterion as decided by the University from time to time shall be applicable.

8.8.3 Under-Graduate and Post-Graduate Programme

Unless approved otherwise, the minimum CGPA requirement for the award of a degree in an Under-Graduate Programme shall be 5.00 subject to getting a minimum of 'P' or a higher grade in each of the courses offered.

8.9 Result

- (i) The results for each semester shall be declared on the date(s) notified in Academic Calendar.
- (ii) The mathematical principle of rounding off shall be followed to round off the grade points up to two places after decimal.

- (iii) The result of a student may be withheld if he has not cleared the university dues, or a case of misconduct or use of unfair means pending against him; or for any other reason, as may be decided by the University.

8.10 Transparency guidelines

- (i) All marks obtained in assignments/ practicals, presentations or a report may be made available to the students within a reasonable time after evaluation.
- (ii) A student shall be allowed to see his MSE answer books. Error of evaluation, if any, will be reported to the HOD. Any change in the marks will be made with due approval of the Dean. The change of marks shall be reported to the Controller of Examinations for updating the result accordingly.
- (iii) A student shall be allowed to see all the answer-books of ESE at one time and location as notified by the concerned School. No second opportunity shall be provided for the purpose. A student may seek re-evaluation by making a written request in the following types of complaints:
 - (a) Question left ungraded, and
 - (b) A correct answer has been awarded a zero or less marks.

No other request of re-evaluation shall be entertained. The result shall be conveyed to the student.

- (iv) A student not satisfied with the result of re-evaluation, may request for challenged evaluation on deposit of the specified fee. If marks increase by 5 per cent of the maximum marks or more, the revision shall be made, and the money will be refunded. There shall be no increase of marks if the change is less than 5 per cent, and the fee deposited will be forfeited. If marks decrease by more than 5 percent, the lesser marks shall be awarded.

8.11 Academic Progression

- i. A student will always be promoted from the current Odd semester to the next Even semester, irrespective of the result of the current Odd semester.
- ii. Promotion from the current Even semester to next Odd semester i.e. from current year to the next year shall be subjected to the following conditions:

- a. A student shall have a CGPA of at least 4.00 out of 10.00 in the first year of study to be eligible to register for courses offered in third semester (second year). Student shall maintain a CGPA of at least 5.00 out of 10.00 at the end of second and subsequent years for academic progression.
- b. If a student does not meet the academic progression criteria as stated above (ii(a)), then he/ she must repeat the same year of study to continue in the programme. During repeating the year, the student will have to pay full fee.
- c. Student shall be given option to carry the credit of passed course(s) while repeating the year.

8.12 Grade Improvement

Students who secured CGPA less than 6.5 after completing the pre-requisite credits for the award of degree, and wish to improve their CGPA may be permitted for CGPA improvement. Such students shall be allowed to withdraw the grade given in the course(s) earlier passed with low grade and shall be permitted to reappear for the examinations for improving the grade(s) and in turn his CGPA. Such students shall have to comply with the following conditions:

- (a) Student will submit a written application to Dean Academic Affairs seeking his permission through the Dean/ Head of the Department from where he has graduated to register for CGPA improvement within one month from the date of declaration of his exam result; provided that he should not have taken (i) Leaving Certificate from the university and ii) Degree from the University. No student will be admitted once the course registration process of improvement examination ends.
- (b) For grade improvement, a student can register for a maximum of 05 courses in which he has secured a C or P grades. In case a student wishes to register for more than 05 courses, he will need to obtain special permission from the Vice-Chancellor.
- (c) A student can choose a maximum three theory courses from a particular semester in which he has secured C or P grade, the student will have to register for these courses in a particular semester in which those courses are offered.
- (d) At the time of registration student will surrender all the original Grade Cards received by him from the university. The maximum grade awarded would be 'A' in the courses in which he appears for grade improvement.

- (e) Grade improvement shall be allowed only in theory courses and it shall not be permitted in courses like Minor Projects, Major Projects / projects, Seminars, Laboratory Courses, Term Paper, etc. He will have to give an affidavit on Rs.100/ judicial stamp paper that he will not use the surrendered Grade Card till he gets official result of the courses for which he wishes to appear for grade improvement. No change will be allowed after registration of a course(s) for grade improvement.
- (f) Student wishing to improve his grade will have to pay the requisite fee as prescribed by the University.
- (g) Student wishing to avail the facility of grade improvement will have to pass in all the courses at a time for which he has registered for. He will not be entitled to appear in the summer term or re-examination in such cases.
- (h) Only one attempt will be permissible to a student wishing to use the facility of grade improvement. If the student fails to secure higher grade(s) the original result (grade) before registering for improvement will be retained.
- (i) Student who improves his CGPA will be issued fresh Grade Card(s) by the university. These Grade Cards will clearly indicate "Grade Improvement" against the course for which the students re-appeared. The date on the new Grade Card will be that as issued for other students appearing in those courses.
- (j) Such students who appear for grade improvement shall not be considered for any Merit Position or Medal.

8.13 Back Paper or Improvement Examination

- i. The facility of back paper (in F and NQ graded courses) or improvement (in C and P graded courses) will be available to the student for the courses of Even (Odd) semesters only in the Even (Odd) semesters.
- ii. There shall be no back paper or improvement examination in the Continuous Assessment (CA) and Mid-Semester Examination (MSE). A student can improve in Continuous Assessment (CA) and Mid-Semester Examination (MSE) in a course only if he/ she repeats the course.
- iii. The syllabus of the course for the student shall be the same as that of the current semester in which he/ she is appearing for the back paper or improvement examination.

8.14 Make-up Examination

- i. If necessary, the University may carry out a Make-up Examination on the recommendation of a committee constituted by the Vice-Chancellor.
- ii. If necessary, the committee may examine cases of students who are not promoted to the next year after the End-Semester-Examinations of the even semester on a case-by-case basis. The committee will send its recommendation to the Vice-Chancellor for consideration and approval.
- iii. The Vice-Chancellor may ask the Controller of Examination to conduct a Make-up Examination, if necessary.
- iv. A student who has attendance above 50% but less than 75% in a theory course will be eligible for the Make-up Examination, if taken, in that theory course.
- v. A student who has less than 50% attendance will not be eligible for Make-up Examination. Such students have to repeat the course in which their attendance is less than 50%. The facility to repeat the course shall be available to the students for the courses of Even (Odd) semesters only in the Even (Odd) semesters.
- vi. If a student is in his odd(even) of any semester and repeats a odd(even) of previous semester (previous year) course, he must attend the regular classes with the first semester (first year) students. The department/ school concerned must plan the time-table in such a way that the student can attend the classes of the repeat course.
- vii. If the above option (v) is not available, the department/ school concerned must assign the student a course mentor for the course in which the student wishes to repeat the course. It is the student's responsibility to meet with the mentor at least three times a week. The mentor will guide the student and ask the student to submit assignments timely. The mentor assesses students throughout the semester through assignments, unit tests, quizzes, presentations, etc., and awards marks for Continuous Assessment (CA) at the end of the semester. The mentor/programme cooordinator/ HoD/ Dean must also take care of MSE dates and conduct MSE on the dates mentioned in the Academic Calendar.
- viii. It shall be the responsibility of the mentor to monitor the progress of these students from time to time and to guide the student accordingly.
- ix. If a student is repeating a course, their most recent marks in CA, MSE, and ESE will be considered for the computation of grade in that course. The prior marks of CA and MSE, if any, will not be taken into account.

- x. There will be no Make-up Examination for practical/ laboratory courses. The facility to pass a practical/laboratory course will be available to the student for the courses of Even (Odd) semesters only in the Even (Odd) semesters.
- xi. The Make-up Examination may be held once per academic-year after one month from the date of declaration of the result of the Even semester.
- xii. A student who secures 'F' or 'NQ' grade in a theory course shall be eligible for Make-up Examination.
- xiii. A student may apply for any course in which he/ she has obtained 'F' or 'NQ' grade. The fee for such an Examination shall be as specified by the University, from time to time for each course.
- xiv. A student wishing to appear for a Make-up Examination in a course must fill up a form and submit the same along with the examination fee to the Examination Department of the concerned School within 7 days of notification, if the University decides to conduct a Make-up Examination. The Controller of Examinations shall schedule the Make-up Examination by taking University's convenience into account.
- xv. The grade earned through the Make-up Examination shall be capped at 'A' grade.
- xvi. If a student who secures 'NQ' or 'F' grade in a theory course and misses the Make-up Examination, he/ she has the opportunity to pass/improve the grades of Even (Odd) semesters only in the Even (Odd) semesters. The University will not carry out any further examination for this purpose.

8.15 Deficient Student

- (i) A deficient student is one who has either not registered himself for one or more courses or has registered but not completed successfully one or more courses of the previous semester(s) *or* has a Cumulative Grade Point Average (CGPA) less than the minimum CGPA required for the award of a certificate/ diploma/ degree.
- (ii) The course planning of such deficient student shall be made by the concerned Mentor/ Programme Coordinator, duly endorsed by the HoD, recommended by the concerned Dean and with the approval of the Dean Academic Affairs in such manner as may be permissible for the backlog(s). Further, backlog courses may be registered for, as far as practicable, in the sequence of their accumulation.
- (iii) The facility of repeating or improvement of grade in a compulsory course(s) shall be available within the maximum duration of the programme.

- (iv) If a student fails in an programme elective or open elective course, he may opt to re-register for the same course or may opt for other prescribed course of same credit provided the maximum duration for the completion of certificate/ diploma/ degree permits so.
- (v) Student (s) unable to secure minimum CGPA for promotion from one Academic year to another will have to repeat the whole year. While repeating the whole year, the student shall complete all the requirements together with other students admitted in the programme in that Academic year, while the System ID/ enrolment number allotted at the time of registration shall be retained, his old marks will be replaced by the marks obtained in Continuous Assessment (CA), MSE and ESE examination held in current Academic Year.
- (vi) An ex-student who has failed in a/ few courses after appearing in final year/ semester examinations may register afresh to pass the said course(s) as failed on payment of prescribed fee.

8.16 Ex-studentship

- (i) A student can opt for Ex-studentship provided he/ she has obtained minimum 50% marks in the internal assessments (CA+MSE) in theory/ practical courses. An ex-student only has to appear in End-Semester Examinations (ESE).
- (ii) A student opting for 'Ex-studentship' must apply to the concerned School 15 days before the start of new academic session and have to pay only the prescribed examination related fee as decided by the University.

8.17 Multiple Entry and Multiple Exit

(Applicable to all except Council-based Programmes)

- i. In pursuance of provisions made in NEP-2020, students are given the option of multiple entries and multiple exits. If a student wants to leave the Programme after the end of a particular academic year (even after the first year), then he/ she must:
 - (a) achieve a minimum CGPA equal to or greater than 5.00.
 - (b) accumulate the minimum prescribed credits for the award of respective certificate/ diploma/ degree.

- (c) The University shall follow the guidelines issued by the Uttar Pradesh State Council of Higher Education/ University Grants Commission (UGC).
- ii. For all council-based programmes, the University shall follow the rules and regulations of their respective Councils.

8.18 MOOC/SWAYAM/ NPTEL Courses

1. It is mandatory for faculty mentors and students to follow NPTEL SU policy document (SU/Reg./Notification/2024/008 dated February 26, 2024) for smooth registration process and proper monitoring.
2. A student will be eligible for a Certificate only if (i) Average Assignment Score $\geq 10/25$ and (ii) Exam Score $\geq 30/75$. If either of these two criteria is not met, the student will not get the certificate, and shall be deemed to have failed, even if the final score is $\geq 40/100$.
3. If a student is not able to earn his/her certificate, in that case student will submit the scorecard of NPTEL course to faculty mentor. His/her result will be declared on the basis of the marks obtained and the passing criteria of OPE/ OE/ DE.
4. If a student fails an NPTEL course in Odd semester, he/she will have a chance to pass that course in Odd semester and if the student fails in Even semester, he/she will have a chance to pass that course only in Even semester.
5. Only final year student may be considered to take the university backlog exam for the NPTEL course in guided mode in summer term, provided that a summer semester is held.
6. The NPTEL University Coordinator and SPOC of the School will maintain semester wise records of the certificates obtained by the students. The SPOC will upload all the verified NPTEL certificates on ERP and maintain the verified certificates with the mark sheet in his/her file. The SPOC/Mentor will upload the marks of the NPTEL courses on ERP.

8.19 VALUE ADDED Courses

1. The University offers both non-credit and credit value added courses, the Non-Credit Value Added Courses will be abbreviated as NCVAC and the Credit Value Added Courses will be abbreviated as VAC.

2. Since NCVAC is an audit course, unlike regular university courses, there will be no Mid Semester- and End Semester Examinations, but students will be assessed by their respective faculty/instructors.
3. Students for the NCVAC will be assessed through continuous assessment with the following assessment components: Three assignments: 50 marks; 3 quizzes or equivalent: 20 marks; Presentation/Group discussion/Project, etc.: 20 marks; Attendance: 10 marks.
4. To pass the NCVAC, a student must score minimum 40 marks out of 100 marks in continuous assessment.
5. Students who will pass in NCVAC will get a certificate on successful completion of the course; students who fails this course will not get the certificate.
6. Students should be encouraged to enroll in NCVAC.
7. The minimum attendance requirement for NCVAC will be same as decided by the University.

8.20 Division classification

The following two divisions, as defined below, shall be awarded to the students:

| Division | Classification |
|-----------------|-----------------------------------|
| First Division | CGPA ≥ 6.50 and ≤ 10.00 |
| Second Division | CGPA ≥ 5.00 and < 6.50 |

8.21 Doctoral Programme

Examination, evaluation, and award of degrees of Doctoral Programme(s) shall be conducted in accordance with the rules and regulations framed by the University in this regard after due approval by the Academic Council and Executive Council.

9. REMUNERATION FOR EXAMINATIONS

The Vice Chancellor may from time to time decide the remuneration for the external paper setters, moderators, examiners, evaluators, invigilators, tabulators and other staff members engaged in examination work. The duties shall, however, be mandatory for the University

faculty and staff members and no remuneration shall be paid to them under ordinary circumstances.

10. AWARD OF CERTIFICATES, DIPLOMAS, DEGREES AND OTHER DISTINCTIONS

A student shall be deemed to have completed the requirements of a Programme and declared eligible for award of a Certificates or Diplomas or Degrees, only if he has completed all the requirements specified in the University Ordinances, Examination Manual and/ or the Programme and Curriculum details.

10.1 The certificates, diplomas and degrees shall be awarded to successful students based on their performance evaluated through examinations and/ or any other method of testing prescribed by the Academic Council.

However, the degrees shall be awarded by the University in terms of Section 51(2) of the U.P. Private Universities Act, 2019.

10.2 The degrees shall be awarded in Convocation or in a function specially organized for the purpose.

10.3 The text and the format of the degrees and citations shall be approved by the Academic Council.

10.4 Duplicate Degree

A duplicate degree shall be issued to a student who has lost his degree, after the completion of following steps:

- (a) The student shall file an F.I.R. at the local police station and shall publish it in the national newspaper also.
- (b) The student shall submit an affidavit on an INR 10/- stamp paper that he has lost the degree.
- (c) The student shall submit a written request together with a payment of prescribed fee to the office of the Dean of the School concerned.

- (d) The Dean's Office will forward the application with all documents to the Office of Controller of Examination for verification and printing of the degree.
- (e) Once the degree has been printed and received, a “**DUPLICATE**” stamp shall be affixed in the Controller of Examination office for issuance.

11. RESIDENCE OF STUDENTS

The University shall provide boarding and lodging facilities to the students, boys, girls and transgenders in hostels, to the extent possible, at the applicable rates. The matters relating to allotment of hostel rooms, maintenance of discipline amongst hostel inmates, resolution of their day-to-day problems and welfare of hostel residents shall be looked after by Inter Hostel Administration (IHA). The Hostel Manual, the rules and regulations framed by IHA shall be implemented with the approval of the Executive Council.

12. SPECIAL ARRANGEMENTS FOR THE RESIDENCE AND TEACHING OF WOMEN STUDENTS

- (i) The campus shall have hostel facility for the women students. The hostel shall offer lodging and boarding facilities to cater to the needs of the female students. Every hostel shall be supervised by a female warden, who shall ensure that utmost care is taken to provide the best amenities and security to the students.
- (ii) The University shall not only seek to promote equality amongst students without any prejudice to their sex, but shall ensure that every woman employee and student is treated with dignity and respect. The general policy shall be geared towards elimination of any discrimination against or harassment of a woman.
- (iii) The University shall endeavor to ensure a safe and secure environment for all the females on the campus.
- (iv) In pursuance of the UGC guidelines, as issued from time to time, the University shall constitute an Internal Complaint Committee (ICC) to prevent sexual harassment on the campus. The Committee shall take necessary steps for prompt redressal of complaints in conformity with ‘The Sexual Harassment of Women at Workplace (Prevention, Prohibition & Redressal)’ Act, 2013 and the rules framed there under.

- (v) The Committee, apart from handling the specific cases of complaints received from female students/ employees, shall also initiate measures for prevention of sexual harassment and strengthening of the safety and security of women. It shall help spread awareness on gender related issues through planning of workshops, skits, street plays, etc.

13. MAINTENANCE OF DISCIPLINE AMONG STUDENTS

13.1 General

- (a) Section 38 (i) of the Statutes provides that all powers relating to maintenance and enforcement of discipline and taking disciplinary action against the students shall vest in the Vice-Chancellor.
- (b) Section 38 (ii) of the Statutes provides that “without prejudice to the generality of his powers relating to maintaining discipline amongst students of the University and taking desired action in the interest of maintaining discipline, the Vice Chancellor shall be guided by the recommendations of the Chief Proctor or the Proctorial Committee.
- (c) Section 38 (iii) of the Statutes provide that “the Vice Chancellor may delegate all or such of his powers, as he deems proper, except that of expulsion of a student from the University, to the Chief Proctor, to any such other persons as he may specify on his behalf”.
- (d) Section 14 of the Statutes provides for the appointment, powers, and functions of Chief Proctor, Associate/ Assistant Proctors, and Proctorial Committee.
- (e) The Dean of School may exercise delegated powers to discipline an errant student of the school, to the extent of suspending him in an emergent situation, pending an inquiry by the Chief Proctor.
- (f) The Chief Proctor may be delegated powers to exercise disciplinary control over the students on the University campus, including the hostels.

13.2. Ethics and Conduct

- 1. The code of conduct and ethics for students includes, *inter-alia*, the following:

- (a) He shall be a regular student and will complete his studies in the University, in accordance with the University Ordinances, rules and regulations in regard to 75% attendance, examinations and academic progression;
 - (b) In case he is forced to discontinue his studies for any legitimate reason, he may be relieved from the University only after the written approval of the School, through its Dean or any such authority who has been authorized for the purpose;
 - (c) He undertakes to deposit University fee and other charges as per the University schedule and that he is aware of the fact that in case of default in fee payment or late registration, late fee charges shall be applicable and that pending clearance of any such dues, the students may not be allowed to attend classes or to take the examination.
 - (d) In case he is allowed to leave the University, he shall clear all pending tuition fees, hostel/mess/ transport dues and any other dues/penalty imposed on him. If he had joined the University on scholarship, the said scholarship shall be revoked and he shall have to deposit the amount received on this account, before such release/ migration is allowed.
- 2. The students are expected to uphold highest standards of academic integrity, respect towards teachers, fellow students and society as a whole, and also honour the right to property and safety of others.
 - 3. At the time of admission, each student/ parents shall undertake that in case the student is admitted to the University / University hostel, He shall scrupulously follow the University rules/ Hostel Manual.
 - 4. Students must deter/ refrain from indulging in any form of misconduct, including participation in any activity such as *dharna* or agitation whether on or off the campus that may affect adversely the reputation and interests of the University. The various forms of misconduct include:
 - (a) Any act of discrimination or abuse, physical or verbal, based on any person's gender, race, religion, religious beliefs, caste, colour, region,

language, disability, sexual orientation, marital or family status, physical or mental disability, etc.;

- (b) intentionally damaging, destroying or causing harm to University assets and property or properties of other students, staff or faculty members;
- (c) any disruptive activity in the class room, examination room or in any event sponsored or organized by the University;
- (d) inability to produce the identity card issued by the University or refusing to produce it on demand by the University authorities, including the security personnel on the campus. The misconduct includes misusing or allowing identity card to be misused for unauthorized entry of others in the University campus/ forcible entry through the turnstile gates or boom barriers or scaling of the boundary walls;
- (e) indulgence in the activities prohibited by the University include:
 - i. organizing gatherings/ meetings or processions without permission from the University;
 - ii. accepting membership of religious, terrorist, and anti-national groups prohibited by the University/ Government;
 - iii. encouraging or indulgence in violence or any act of moral turpitude.
- (f) possession of or carrying of or use of any weapon, potential weapons, ammunition, explosives or fireworks, contrary to law or University instructions on the campus or off-campus;
- (g) possession or use of harmful chemicals, fire crackers, inflammable material and banned drugs;
- (h) indulging in physical assault or issuing threat to use physical force against any member of the teaching, non-teaching staff or any student in the University Campus / University transport.

- (i) indulgence in any form of gambling, bribing, or corruption in any manner;
- (j) indulgence in absenteeism, unpunctuality, and resorting to mass bunking, and or boycotting examinations, or extra-curricular activities;
- (k) smoking on the University campus, or University provided transport;
- (l) possessing, consuming, distributing, selling of alcohol in any form/ tobacco products/ intoxicants/ any banned substance in the University campus/ University transport. Refusal to undergo breathalyzer/ drug test. Throwing any empty/ filled bottles inside the campus or on the University road, particularly with an intention to harm someone;
- (m) parking a vehicle in a no parking zone or in area earmarked for parking other type of vehicles or bringing vehicle inside the University campus, without proper authorization;
- (n) rash driving/ driving in an inebriated state on the campus that may cause any inconvenience or injury to others;
- (o) not disclosing a pre-existing health condition, either physical or psychological, to the Mentor/ Warden, which may cause obstruction to the academics of either self or other students or putting them to any kind of risk;
- (p) theft of University property or the property of any student, staff and faculty;
- (q) unauthorized access to the privacy or property of the University and other fellow students, Staff and Faculty members;
- (r) insult/ assault/ misbehavior with other students, faculty and staff including security at any time, including at the time of University events or activities. Ragging in any form in University campus or transport.
- (s) engagement in disorderly, lewd, or indecent conduct including, but not limited to, creating unreasonable and loud noise; pushing and shoving

of fellow students or any staff; inciting or participating in a riot or group disruption at the University premises.

- (t) eve teasing, indulgence in any act that violates the dignity of or is derogatory to the women in general which may include any lewd gesture, inappropriate behavior, any objectionable verbal, or pictorial communication/ message/ graffiti, written anywhere in the campus or on social media including WhatsApp/ Instagram/ Twitter/ Facebook messages, etc.; and
 - (u) violation of any provision of the Civil Right Protection Act 1976; which prohibits casteism and untouchability in any form or inciting any other person to do so.
 - (v) tempering/ fabrication/ photo shopping/ altering of certificates/ documents/ receipts/ admit cards issued by a competent University authority with the intentions to cheat or gain undue benefits and or using unfair means in University examinations.
 - (w) unauthorized absence, late return, producing fake parental permission / consent to stay away from hostel, tempering/ manipulation biometric machines on hostel gates, wastage of water, electricity, food in the hostel, indecent behavior in the hostel/ hostel rooms causing inconvenience to others, unauthorized stay in rooms not allotted, unauthorized keeping/ using electrical gadgets in the hostel, cooking in the hostel room, cheating, theft/ stealing, causing damage to hostel property, misbehavior with fellow students, mess/ security/ University staff and or breaking any other hostel rules.
5. Students are expected not to interact, on behalf of the University, with media representatives or invite media persons on to the campus, without the permission of the University authorities.
 6. Students are not permitted to tape or record lectures/ discussions/ exchanges in the classroom or actions of other students, faculty, or staff through audio or video gadgets without prior permission.

7. Students are not permitted to provide audio and video clippings of any activity on the campus to media without prior permission.
8. Students are expected to use social media such as face book, WhatsApp, twitter, etc. and other electronics and print media carefully and responsibly. They cannot post derogatory or offensive comments/ videos on social or electronic & print media, or indulge in any such activities that may have grave ramification on the reputation of the University or an individual.
9. Damage, theft, abuse or unauthorized interference with the University IT infrastructure and other electronic resources such as computer and electronic communication facilities/ systems, infrastructure and services that includes unauthorized entry, use, tampering, etc. of the University property or facilities, private residences of staff/ faculty etc., offices, classrooms, and other such facilities are liable for strict disciplinary action which may include expulsions / rustication from the University.
10. The University maintains zero tolerance towards any student indulging in any form of obscene comments, harassment, intimidation/ bullying etc., which are defined as misconduct and are triggered on account of a person's race, colour, caste, creed, national or ethnic origin, citizenship, sex, religion, age, sexual orientation, gender identity, marital status, physical or mental disability, medical condition, etc.

13.3. Disciplinary Measures

If a case is lodged against any student for a possible breach of Code of conduct, the case shall be referred to the **Proctorial Committee** which will recommend suitable disciplinary action after proper enquiry into the alleged violation. The accused shall be given a reasonable opportunity to defend herself/ himself as per the principles of natural justice, but in case the accused fails to appear before the Proctorial Committee, the Committee may make an *ex parte* recommendation. The Committee may interrogate the affected students and concerned staff to ascertain the misconduct and suggest one or more of the following disciplinary actions, based on the gravity/ nature of the misconduct/ offence committed, for consideration and approval of the Vice-Chancellor.

1. **Warning and/ or Placing on Conduct Probation-** A warning indicating that the action of the accused student was in violation of the Code of Conduct and Ethics for the students of the University, and any further act(s) of misconduct shall result in severe disciplinary action, will be issued.
2. **Debarred-** The student may be debarred from taking examinations or punished by cancellation of examination results.
3. **Suspension-** A student may be suspended for a specified period of time as punishment/ or till completion of inquiry which will entail prohibition on participating in student related activities, classes, programs, etc. Additionally, the student will be forbidden to use various University facilities, unless permission is accorded by the competent authority. Suspension may also follow by possible expulsion/ rustication from the University, along with additional penalties:
4. **Restrictions-** A restriction/ restraint order indicating that the student is perceived as a threat to other students, staff or university property, etc. and as such he is being reprimanded with restricted access to various facilities on the campus for a specified period.
5. **Community Service-** A student may be asked to do some kind of community service for a specified period of time, which may be extended, if need be. However, any future misconduct, along with failure to comply with any specified conditions imposed on the student, may lead to severe disciplinary action, including suspension or expulsion.
6. **Monetary Penalty-** A monetary penalty or addition security deposit may be imposed on the delinquent student, which may also include suspension and/ or forfeiture of part or whole scholarship/ fellowship, for a specific period.
7. **Expulsion/Rustication-** The student may be expelled/ rusticated from the University temporarily/ permanently, indicating prohibition from entering the University premises or participating in any student related activities or entering campus residences, etc. The penalty may include withholding the Grade cards, Degree Certificate or any other certificate for the courses studied or work carried out and ineligibility to reapply for admission to the University for a specified period.

13.4. Appeal

If any student is aggrieved by the imposition of any of the above mentioned penalties, he may appeal against the punishment to the Vice Chancellor. The Vice Chancellor may decide on one of the followings:

- (a) may accept the recommendation of the Proctorial Committee and confirm the punishment as recommended by the Committee, **or** may modify and impose any of the punishments as contained herein above, taking into account the nature of his misconduct; **or**
- (b) may refer the case back to the Proctorial Committee for re-consideration.

The Vice Chancellor's decision shall be final and binding in all such cases.

13.5. Anti-Ragging

The UGC Regulation on Curbing the Menace of Ragging in Higher Educational Institutions, 2009 seeks to put in place an effective and zero tolerance policy of Anti-ragging which are mandatory in nature for universities to follow. Accordingly, the University Ordinance stipulates that the said UGC Regulation shall apply *mutatis mutandis* to the University and its students which may be referred to while implementing the policy. However, for ready reference, certain salient features of the regulation are briefly brought out as under:

13.5.1 What Constitutes Ragging?

Ragging constitutes one or more of the following acts:

- (i) any conduct by any student or a group of students whether by words spoken or written or by an act which has the effect of teasing, treating or handling with rudeness of any student;
- (ii) indulging in rowdy or undisciplined activities by any student or students which causes or is likely to cause annoyance, harassment, hardship, physical or psychological harm or to raise fear or apprehension in any other student;
- (iii) forcing or asking any student to do an act which such student may not in the ordinary course do and which has the effect of causing or

generating a sense of shame, torment or embarrassment so as to adversely affect the physique or psyche of such a student;

- (iv) any act by a senior student that prevents, disrupts or disturbs the regular academic activity of any student;
- (v) exploiting the services of a student for completing the academic tasks assigned to an individual or a group of students;
- (vi) any act of financial extortion or forceful expenditure burden put on a student by senior students;
- (vii) any act of physical abuse including all variants of it: sexual abuse, stripping, forcing obscene and lewd acts, gestures, causing bodily harm or any other danger to health or person;
- (viii) any act or abuse by spoken words, emails, post, public insults which would also include deriving perverted pleasure, vicarious or sadistic thrill from actively or passively participating in the discomfiture to any other student;
- (ix) any act that affects the mental health and self-confidence of any other student with or without an intent to derive a sadistic pleasure or showing off power, authority or superiority by a student over any other student.

13.5.2 Measures for Prohibition and Prevention of Ragging:

The University shall not permit or condone any reported incident of ragging in any form that may take place on its premises and/ or various constituent units, whether located within the campus or outside, and in all means of transportation of students. It will take all necessary and required measures to achieve the objective of eliminating ragging, within the University or outside. It will also take punitive action, as stipulated herein under sub-para 13.5.5 against those are found guilty of ragging and/ or abetting ragging.

For prevention of ragging the University shall take requisite steps, as enumerated in the UGC Regulations, at the time of admission or registration of the students which will include, inter alia, an affidavit to be signed by the

parents/guardians of the applicant to the effect that he/she has read and understood the provisions of the said Regulations, besides the penal provisions under the law. Subsequent to the admission, every fresh student shall be provided with detailed instructions advising them that they should desist from doing anything, with or against their will, even if ordered to by the senior students, and that any attempt of ragging shall be promptly reported to the Anti-Ragging Squad or to the Warden or to the Head of University, as the case may be. At the same time, the University may schedule orientation/ counselling and sensitization programme for senior students with a view to ensuring that the incidents of ragging are minimized, if not eliminated. The hostel wardens and the faculty members will have to maintain extra vigil against ragging.

13.5.3 Anti-Ragging Committee

The Anti-Ragging Committee, as constituted by the Vice Chancellor and headed by Dean, Students' Welfare or any other Senior Professor from the University. The Committee shall have, as its members, the Dean(s), faculty members, student counsellors, senior administrative officers, etc. with a diverse mix of memberships in terms of levels as well as gender.

It shall be the duty of the Anti-Ragging Committee to ensure compliance with the provisions of the UGC Regulations as well as the provisions of any law for the time being in force concerning ragging; it shall examine all complaints of anti-ragging and come out with recommendations based on the nature of the incident. Another major role of the Committee shall be to monitor and oversee the performance of the Anti-Ragging Squad in prevention of ragging in the University.

13.5.4 Anti-Ragging Squad

To render assistance, an Anti-Ragging Squad, is a smaller body, may be constituted consisting of certain members drawn from the campus community. The said Squad shall keep a vigil on ragging incidents, if any, taking place on the campus. The Squad is empowered to inspect places of potential ragging, and also to make surprise raids in hostels and other hotspots. It may also investigate incidents of ragging and make

recommendations to the Anti-Ragging Committee. The Anti-Ragging Squad shall conduct such enquiry observing a fair and transparent procedure and the principles of natural justice shall be followed.

13.5.5 Punishment

A student found guilty by the Committee will attract one or more of the following punishments, as imposed by the Anti-Ragging Committee:

- (i) Suspension from attending classes and academic privileges;
- (ii) Withholding/ withdrawing scholarship/ fellowship and other benefits;
- (iii) Debarring from appearing in any test/ examination or other evaluation process;
- (iv) Withholding of results;
- (v) Debarring from undertaking any collaborative work or attending national or international conferences/ symposia/ meeting to present his/her research work;
- (vi) Suspension/ expulsion from the hostels and mess;
- (vii) Cancellation of admission;
- (viii) Expulsion from the University and consequent debarring from admission to any other institution for a specified period;
- (ix) In cases where the persons committing or abetting the act of ragging are not identified, the University may resort to collective punishment;
- (x) If need be, in view of the intensity of the act of ragging committed, a First Information Report (FIR) shall be filed by the University with the local police.

The Anti-Ragging Committee of the University shall take appropriate decision, including imposition of punishment, depending on the facts and circumstances of each incident of ragging and its gravity.

13.5.6 Appeal

An appeal against any punishment that may be imposed shall lie to the Vice-Chancellor and his decision shall be binding on all concerned.

13.6 Sexual Harassment

The Policy in respect of prevention, prohibition and redressal of sexual harassment of women employee and students in the University is in line with the University Grants Commission (Prevention, prohibition and redressal of sexual harassment of women employees and students in higher educational institutions) Regulations, 2015 and shall be applied, *mutatis mutandis*, to the women employees and students of the University.

13.6.1 What constitutes Sexual Harassment

As per the Regulation “the following acts shall mean and be deemed as acts of ‘Sexual Harassment’, punishable as per the provisions laid in the aforesaid Regulation:

- (i) “An unwanted conduct with sexual undertones if it occurs or which is persistent and which demeans, humiliates or creates a hostile and intimidating environment or is calculated to induce submission by actual or threatened adverse consequences and includes and one or more or all of the following unwelcome acts or behavior (whether directly or by implication), namely: -
 - (a) any unwelcome physical, verbal or non-verbal conduct of sexual nature;
 - (b) demand or request for sexual favours;
 - (c) making sexually colored remarks;
 - (d) physical contact and advances; *or*
 - (e) showing “**pornography**”
- (ii) any one (or more than one or all) of the following circumstances, if it occurs or is present in relation or connected with any behavior that has explicit or implicit sexual undertones:

- (a) implied or explicit promise of preferential treatment as *quid pro quo* for sexual favours;
- (b) implied or explicit threat of detrimental treatment in the conduct of work;
- (c) implied or explicit threat about the present or future status of the person concerned;
- (d) creating an intimidating offensive or hostile learning environment;
- (e) humiliating treatment likely to affect the health, safety dignity or physical integrity of the person concerned.”

13.6.2 Internal Complaints Committee (ICC)

In terms of sub-clause (1) of clause 4. of the said Regulations, the University shall constitute an “Internal Complaints Committee” (ICC) with an inbuilt mechanism for gender sensitization against sexual harassment.

- (i) The ICC shall have the following composition:
 - a) A Presiding Officer who shall be a women faculty member employed at a senior level (not below a Professor) in the University, nominated by the Vice-Chancellor;
 - b) Two faculty members and two non-teaching employees, preferably committed to the cause of women or who have had experience in social work or have legal knowledge, nominated by the Vice-Chancellor;
 - c) Three students, if the matter involves students, who shall be enrolled at the undergraduate, master’s, and research scholar levels, respectively; and
 - d) One member from amongst non-government organizations or associations committed to the cause of women or a person familiar with the issues relating to sexual harassment, nominated by the Vice-Chancellor.
- (ii) At least one-half of the total members of the ICC shall be women.

- (iii) Persons in senior administrative positions in the University, such as Vice-Chancellor, Pro-Vice-Chancellor, Registrar, Deans, Heads of Department, etc., shall not be members of ICC in order to ensure autonomy of their functioning.
- (iv) The term of office of the members of the ICC shall be for a period of three years. The University may also endeavor to follow a system whereby one-third of the members of the ICC may change every year.
- (v) The Member appointed by the Vice-Chancellor from amongst the non-government organizations or associations shall be paid such fees or allowances for attending the proceedings of the ICC, as may be prescribed.
- (vi) The Presiding Officer or any Member of the Committee may be removed from the Committee for the reasons stipulated in the Regulation, and the vacancy so created or any casual vacancy shall be filled in by fresh nomination for the residual period of the said member, with the approval of the Vice-Chancellor.

13.6.3 Punishment

Sexual misconduct or harassment encompasses a range of conduct, as indicated in the preceding paragraphs, and shall be determined on the basis of circumstances in each case. Any aggrieved student or employee may approach the “Internal Complaints Committee”, the details whereof are notified periodically on the University Website as well as in the Student Information Brochure. Any violation of these provisions shall make the accused liable of strict disciplinary action, as prescribed in the UGC Regulations.

13.7 Students’ Grievance Redressal

The Ordinance on the subject is in line with the University Grants Commission (Redressal of Grievances of Students) Regulations, 2023, notified by the UGC on 11th April, 2023.

13.7.1 Definition

“**Grievance**” means, and includes, complaint(s) made by an aggrieved student in respect of the following, namely:

- i. admission contrary to merit determined in accordance with the declared admission policy of the University;
- ii. irregularity in the process under the declared admission policy of the University;
- iii. refusal to admit in accordance with the declared admission policy of the University;
- iv. non-publication of a prospectus by the University, in accordance with the provisions of these regulations;
- v. publication by the University of any information in the prospectus, which is false or misleading, and not based on facts;
- vi. withholding of, or refusal to return, any document in the form of certificates of degree, diploma or any other award or other document deposited by a student for the purpose of seeking admission in such University, with a view to induce or compel such student to pay any fee or fees in respect of any course or program of study which such student does not intend to pursue;
- vii. demand of money in excess of that specified to be charged in the declared admission policy of the University;
- viii. violation, by the University, of any law for the time being in force in regard to reservation of seats in admission to different category of students;

- ix. non-payment or delay in payment of scholarships or financial aid admissible to any student under the declared admission policy of such University, or under the conditions, if any, prescribed by the Commission;
- x. delay by the University in the conduct of examinations, or declaration of results, beyond the schedule specified in the academic calendar of the University, or in such calendar prescribed by the Commission or the State Government;
- xi. failure by the University to provide student amenities as set out in the prospectus, or is required to be extended by the University under any provisions of law for the time being in force;
- xii. non-transparent or unfair practices adopted by the University for the evaluation of students;
- xiii. delay in, or denial of, the refund of fees due to a student who withdraws admission within the time mentioned in the prospectus, or as may be notified by the Commission;
- xiv. complaints of alleged discrimination of students from the Scheduled Castes, the Scheduled Tribes, Other Backward Classes, Women, Minority or persons with disabilities categories;
- xv. denial of quality education as promised at the time of admission or required to be provided; and
- xvi. harassment or victimization of a student, other than cases of harassment, which are to be proceeded against under the penal provisions of any law for the time being in force.
- xvii. any action initiated/ taken contrary to the statutes, ordinances, rules, regulations, or guidelines of the University; and

- xviii. any action initiated/taken contrary to the regulations and/or guidelines made/issued by the Commission and/or the regulatory body concerned.

13.7.2 Publication of Prospectus

- i. In terms of the Regulation, the University shall publish and/ or upload on its website, before expiry of at least sixty days prior to the date of the commencement of the admission to any of its courses or programs of study. The prospectus shall contain the following for the information of persons intending to seek admission to the University, and the general public, namely:
- (a) the list of programs of study and courses offered along with the broad outlines of the syllabus specified for every course or program of study, including teaching hours, practical sessions and other assignments;
 - (b) the number of seats approved by the appropriate statutory authority in respect of each course or program of study for the academic year for which admission is proposed to be made;
 - (c) the conditions of educational qualifications and eligibility including the minimum and maximum age limit of persons for admission as a student in a particular course or program of study, as may be specified by the University;
 - (d) the process of selection of eligible candidates applying for such admission, including all relevant information in regard to the details of test or examination for selecting such candidates for admission to each course or program of study, and the amount of fee prescribed for the admission test;
 - (e) each component of the fee, deposits and other charges payable by the students admitted to the University for pursuing a course or

program of study, and the other terms and conditions of such payment;

- (f) rules/ regulations for imposition and collection of any fine, in specified heads or categories, along with details of minimum and maximum fine as may be imposed.
- (g) the percentage of tuition fee and other charges refundable to a student admitted to the University in case such student withdraws from the University before or after completion of course or program of study and the time within and the manner in which such refund shall be made to that student;
- (h) details of the teaching faculty, including their educational qualifications, along with their type of appointment (Regular/visiting/guest) and teaching experience of every member thereof.
- (i) information with regard to physical and academic infrastructure and other facilities including hostel accommodation and its fee, library, hospital or industry wherein the practical training is to be imparted to the students and in particular the amenities accessible by students on being admitted to the University;
- (j) all relevant instructions in regard to maintaining the discipline by students within or outside the campus of the University, and, in particular such discipline relating to the prohibition of ragging of any student or students and the consequences thereof and for violating the provisions of any regulation in this behalf made by the relevant statutory regulatory authority; and

- (k) Any other information as may be specified by the Commission and the State Government;

Provided that the University shall publish/ upload information referred to in the above clauses, (a) to (k) of this ordinance, on its website, and the attention of prospective students and the general public shall be drawn to such publication.

- ii. The University shall fix the price of each printed copy of the prospectus, being not more than the reasonable cost of its publication and distribution and no profit shall be made out of the publication, distribution or sale of prospectus.

13.7.3 Student Grievance Redressal Committees (SGRC)

- (i) A complaint from an aggrieved student relating to the University shall be addressed to the Chairperson, Students' Grievance Redressal Committee (SGRC).
- (ii) Every University shall constitute such number of Students' Grievance Redressal Committees (SGRC), as may be required to consider grievances of the students, with the following composition, namely:
 - a) A Professor – Chairperson
 - b) Four Professors/ Senior Faculty Members of the University as Members.
 - c) A representative from among students to be nominated on academic merit/ excellence in sports/ performance in co-curricular activities -Special Invitee.
- (iii) At least one member or the Chairperson shall be a woman and at least one member or the Chairperson shall be from SC/ ST/ OBC category.
- (iv) The term of the chairperson and members shall be for a period of two years.
- (v) The term of the special invitee shall be one year.

- (vi) The quorum for the meeting including the Chairperson, but excluding the special invitee, shall be three.
- (vii) In considering the grievances before it, the SGRC shall follow principles of natural justice.
- (viii) The SGRC shall send its report with recommendations, if any, to the competent authority of the University and a copy thereof to the aggrieved student, preferably within a period of 15 working days from the date of receipt of the complaint.
- (ix) Any student aggrieved by the decision of the Students' Grievance Redressal Committee may prefer an appeal to the Ombudsperson, within a period of fifteen days from the date of receipt of such decision.

13.7.4 Ombudsperson

- (i) Each University shall appoint Ombudsperson for redressal of grievances of students of the University.
- (ii) There shall be one or more part-time functionaries designated as Ombudspersons to hear, and decide on the appeal preferred against the decisions of the SGRCs.
- (iii) The Ombudsperson shall be a retired Vice-Chancellor or a retired Professor (who has worked as Dean/ HOD) and has 10 years' experience as a Professor in a higher educational institution or a former District Judge.
- (iv) The Ombudsperson shall not, at the time of appointment, during one year before appointment, or in the course of his/ her tenure as Ombudsperson, be in conflict of interest with the University where his/her personal relationship, professional affiliations or financial interest may compromise or reasonably appear to compromise, the independence of judgment towards the University.

- (v) The Ombudsperson shall be appointed for a period of three years or until he/she attains the age of 70 years, whichever is earlier, from the date of assuming office, and shall be eligible for reappointment for another one term.
- (vi) For conducting the hearings, the Ombudsperson shall be paid a sitting fee, per diem, in accordance with the norms fixed by the respective university and shall, in addition, be eligible for reimbursement of the expenditure incurred on conveyance.
- (vii) The University may remove the Ombudsperson from office, on charges of proven misconduct or misbehavior.
- (viii) No order of removal of Ombudsperson shall be made except after an inquiry made in this regard by a person, not below the rank of a retired judge of the High Court in which a reasonable opportunity of being heard is given to the Ombudsperson.

13.7.5 Functions of Ombudsperson

- (i) The Ombudsperson shall hear appeals from an aggrieved student, only after the student has availed all other remedies provided under the Ordinance.
- (ii) While issues of malpractices in the conduct of examination or in the process of evaluation may be referred to the Ombudsperson, no appeal or application for revaluation or re-totaling of answer sheets from an examination, shall be entertained by the Ombudsperson unless specific irregularity materially affecting the outcome or specific instance of discrimination is indicated.
- (iii) The Ombudsperson may avail assistance of any person, as *amicus curiae*, for hearing complaints of alleged discrimination.
- (iv) The Ombudsperson shall make all efforts to resolve the grievances within a period of 30 days of receiving the appeal from the aggrieved student(s).

13.7.6 Procedure for Redressal of Grievances by Ombudsperson and Student Grievance Redressal Committee

- (i) The University shall maintain an Online Portal where any aggrieved student may submit an application seeking redressal of grievance.
- (ii) On receipt of an online complaint, the University shall refer the complaint to the appropriate Students' Grievance Redressal Committee, along with its comments within 15 days of receipt of complaint on the online portal.
- (iii) The Students' Grievance Redressal Committee, as the case may be, shall fix a date for hearing the complaint which shall be communicated to the University and the aggrieved student.
- (iv) An aggrieved student may appear either in person or authorize a representative to present the case.
- (v) Grievances not resolved by the Students' Grievance Redressal Committee within the time period provided in these regulations may be referred to the Ombudsperson by the University.
- (vi) The University shall extend co-operation to the Ombudsperson or the Student Grievance Redressal Committee, in early redressal of grievances.
- (vii) The Ombudsperson shall, after giving reasonable opportunities of being heard to the parties concerned, on the conclusion of proceedings, pass such order, with reasons thereof, as may be deemed fit to redress the grievance and provide such relief as may be appropriate to the aggrieved student
- (viii) The University, as well as the aggrieved student, shall be provided with copies of the order under the signature of the Ombudsperson.
- (ix) The University shall comply with the recommendations of the Ombudsperson.
- (x) The Ombudsperson may recommend appropriate action against the complainant, where a complaint is found to be false or frivolous.

13.7.7 Information regarding Ombudsperson and Student Grievance Redressal Committees

The University shall furnish prominently, on its website and in its prospectus, all relevant information in respect of the Students' Grievance Redressal Committee under its purview, and the Ombudsperson for the purpose of appeals.

14. ESTABLISHMENT OF SCHOOLS/ DEPARTMENTS/ CENTRES

- a. The University shall have such Schools of Studies, Departments, Special Centres and Specialized Laboratories as may be approved by the Executive Council.
- b. The University shall have the following Schools, namely:
 - i. Sharda School of Agricultural Sciences
 - ii. Sharda School of Allied Health Sciences
 - iii. Sharda School of Design, Architecture & Planning
 - iv. Sharda School of Basic Sciences & Research
 - v. Sharda School of Business Studies
 - vi. Sharda School of Engineering & Technology
 - vii. Sharda School of Humanities & Social Sciences
 - viii. Sharda School of Law
 - ix. Sharda School of Media, Film & Entertainment
 - x. Sharda School of Nursing Sciences and Research
 - xi. School of Dental Sciences
 - xii. School of Education
 - xiii. School of Medical Sciences & Research
 - xiv. School of Pharmacy
- c) Every School of Studies (hereinafter referred to as the School) shall consist of such

Departments as may be assigned to it with the approval of the Executive Council.

- d) Each School/ Department/ Centre shall be headed by a Dean/ Head of the Department/ Director, respectively.
- e) Deans, Heads of the Department and Directors shall be appointed and shall perform their functions in accordance with the provisions as outlined in the Ordinance 10, 12, and 16 of the University Ordinances, respectively.
- f) Each School shall have a Faculty Board (School Board) and each Department shall have a Board of Studies in accordance with Section 25 and 26 of the University Statutes.

14.1 Establishment of Special University Centres and Laboratories

Upon the recommendations of the Academic Council, the Executive Council may establish Centres of Excellence, Special Centres and Specialised laboratories for undertaking interdisciplinary studies and specialized studies/programmes. The Executive Council may also constitute Special Board(s) of Studies and academic committee(s) for the guidance of these Units.

14.2. Creation of Other Bodies of the University

To ensure the smooth and efficient functioning of the University, the Executive Council may constitute standing, advisory and/ or other bodies/ committees as it may deem fit. The composition, powers, functions and the tenure of such bodies/ committees shall be as decided by the Executive Council.

15. COOPERATION AND COLLABORATION WITH OTHER UNIVERSITIES/ INSTITUTIONS, ETC.

- (i) The University shall network and collaborate with institutions of higher education, research institutions, industry, 'persons of eminence' and/ or non-governmental organizations of national and international repute, subject to compliance with the applicable UGC Regulations, as issued from time to time.
- (ii) The objective of such networking and collaboration shall be to further teaching, research, extension, consultancy, PhD guidance, etc. aimed at providing opportunities of enhanced learning, hands on experience, cross-cultural dialogue and exchange of ideas for the faculty, research scholars and students, and to consider offering joint degree

programmes, twinning programmes, dual degree programmes, subject to the UGC Regulations if any on the subject.

- (iii) For the purpose, the University may enter into exchange programmes of teachers and students, sharing of course and instruction materials, sharing of credits, and holding of joint workshops, joints research projects funded by national and international agencies, and providing access to each other's facilities, and to institute joint academic degrees in accordance with the standards recognized by the regulatory bodies of the respective countries.
- (iv) The University may collaborate for establishment of University centres abroad, may develop e-courses for interactive and integrated learning, sharing of library, laboratory and other learning resources or any other activity of mutual benefit.
- (v) The University may enter into contracts, including Memorandum of Understanding (MoU) with other institutions of national and international repute for the purpose outlined hereinabove.
- (vi) Any proposal in regard to the above, emanating from the Department/School shall be examined by the Dean Academic Affairs and/ or Dean Research, and the same shall be submitted through the Registrar to the Vice-Chancellor who shall, after due consideration, submit the proposals to the relevant statutory authority of the University for approval.

All the contracts and MoUs will be for a specified time period and will be reviewed periodically at appropriate level.

16. CONVOCATION

16.1 General

- (a) Convocation for the award of degrees and diplomas and other distinctions of the University shall normally be held annually in the main campus of the University or at such other places as approved by the Executive Council on such date as the Chancellor may fix.
- (b) The Chancellor shall, if present, preside over the Convocation as well as Special Convocation of the University held for conferring degrees. In the absence of Chancellor, Pro Chancellor, and in his absence Vice Chancellor shall preside.

- (c) The Chancellor, if present, shall confer degrees on students. Pro Chancellor in his absence and Vice Chancellor in his absence shall confer degrees on students. Further, provided that in extreme emergency, the degrees, diplomas, certificates shall be awarded to the successful candidates before the Convocation with the approval of Vice Chancellor and the matter may be reported to the Academic Council.
- (d) The Controller of Examination shall prepare a list of students who have completed all the requirements for conferment of a degree and forward it to the Dean of the School.
- (e) The Dean shall forward the list to the Vice-Chancellor with his recommendation.
- (f) The Vice-Chancellor, if satisfied, shall grant his approval to the list of students received and instruct the Controller of Examination to prepare the degrees in approved format.
- (g) The Academic Council shall determine from time to time, as to the degrees and diplomas which may be conferred on graduates in person and the degrees and diplomas to be conferred in absentia at the convocation.
- (h) The Degree and Diploma Certificates may bear the signatures of the Registrar, and the Vice Chancellor. Provisional Degrees shall be signed by the Asst./ Dy. COE and the Dean of the School. Honorary Degree Certificates, however, shall be signed by the Vice Chancellor and Chancellor.

16.2. Order of Precedence for Academic Procession

- (a) The following order of precedence shall normally be observed (for entry) to the Convocation Venue, at the time of Convocation:
 - i. The Registrar
 - ii. The Finance Committee
 - iii. The Planning Board
 - iv. The Academic Council
 - v. The Executive Council
 - vi. The Governing Body

- vii. The Dean, Academic Affairs, The Deans of Schools, The Dean Research, The Controller of Examinations and The Dean of Student's Welfare
 - viii. The Pro-Vice Chancellor (s)
 - ix. The Vice Chancellor
 - x. Guest(s) of Honour
 - xi. The Pro Chancellor
 - xii. The Chancellor
 - xiii. Chief Guest
- (b) In case of other distinguished dignitaries present, precedence shall be regulated in accordance with the warrant of precedence or such official rules as may govern the matter.
- (c) The Vice Chancellor shall with the advice of Chancellor may determine, from time to time as to persons who may also be part of the academic procession.

16.3. Academic Costume

The Academic Costume shall be worn at the time of convocation by all members who are part of the procession and the Recipients of the degrees. The specifications viz colour, material, weaving, dying etc. shall be determined each time.

The colours for Recipients of degrees for various levels of programmes shall be different and determined each time.

16.4. Instructions for the Recipients of the Degrees/ Awards

- (a) The Recipients must appear in the prescribed Academic dress.
- (b) After the issuance of “**Convocation**” notice, the Recipients must inform their confirmation to be present a week before the date fixed for Convocation. No Recipients be admitted to the Convocation, who has not sent his confirmation to be present, to the Registrar/ Registrar's nominee within the prescribed time. In exceptional cases, the Registrar or his nominee may permit Recipients who have not sent their names to him within the prescribed time to be admitted to the Convocation, provided their applications are received by Registrar not later than 48

hours before the time of Convocation and are accompanied by a prescribed fee in each case. No Recipients whose application and requisite fee are received later than 48 hours before the time of Convocation will be allowed to take their certificates/ diplomas/ degrees at the Convocation.

- (c) Recipients who are unable to attend the Convocation will be admitted to the degrees in absentia in accordance with the rules prescribed from time to time.
- (d) The Recipients who fail to attend the Convocation or wish to have their degrees in absentia shall pay a prescribed fee to the University, before they are admitted to the degree. Their degree certificates will be mailed by Registered post.
- (e) Candidates must appear in the prescribed academic dress.
- (f) A rehearsal shall be arranged on or before the date of the Convocation at which the candidates getting degrees must be present. Candidates not present at the rehearsal without permission run the risk of not being admitted at the Convocation.

16.5. Conduct of Convocation/ Presentation of the Recipients

- (a) The Chief Guest, Guest(s) of Honour, the Chancellor, the Pro-Chancellor, the Vice Chancellor, Pro-Vice Chancellor (s), Deans, Registrar, and other members of procession shall take their seats at the designated places reserved for them.
- (b) On the procession entering the hall, the recipients and the audience shall stand and remain standing until the members of the procession have taken their seats.
- (c) The Registrar, with the consent of the Chancellor, if he is present, shall declare the convocation open. In the absence of Chancellor, the Pro Chancellor, and in his absence the Vice Chancellor shall declare the Convocation open.
- (d) The proceedings of the Convocation shall begin with recital of **“University Song/ KulGeet”**.
- (e) The Vice Chancellor will present the annual report of the University.
- (f) In case degree of Honoris Causa is to be awarded, the Vice Chancellor shall welcome the distinguished person and shall read out citation and request the Chancellor to confer the Honorary Degree in the following words:

(g) “By virtue of the authority vested in me as the Vice Chancellor of the Sharda University, I request Chancellor that he may be pleased to graciously confer upon (Name of the distinguished person) the degree of Honoris Causa for his/ her outstanding service.” Provided, that if the Vice Chancellor is presiding over the Convocation, the Pro-Vice Chancellor or in his absence the Dean Academics Affairs or the senior most Dean of School, shall read the citation and perform such functions which the Vice Chancellor would have performed.

(h) The Chancellor (and Pro Chancellor in his absence and Vice Chancellor in his absence) shall confer the honorary degree with the following invocation.

“I confer on (Name of the distinguished person) the degree of Honoris Causa.”

(i) In case of other degrees, the Recipients who are to be awarded degrees at the Convocation shall be presented by the Deans of School.

(j) The Deans of Schools concerned will direct the recipients of the Degree of concerned programme to rise on their seats, and shall present them to the Chancellor/ Pro Chancellor/ Vice Chancellor for Investiture.

(k) The concerned students will acknowledge by a bow and sit down.

(l) The Chancellor/ Pro Chancellor/ Vice Chancellor will request the Chief Guest to present the medals and awards to the students. The Dean of Academic Affairs will announce the names of recipients of medals and other distinctions present them to the Chief Guest of the Convocation.

(m) This will be followed by:

i. Address of the Pro Chancellor

ii. Address of the Chancellor

iii. Address of the Guest(s) of honour

iv. Convocation Address of Chief Guest.

(n) The Pro Vice Chancellor will thank the Chief Guest & other dignitaries present.

- (o) The Registrar, with the consent of Chancellor, if present, will declare the Convocation as closed. In the absence of Chancellor, the Pro Chancellor, and in his absence the Vice Chancellor shall declare the Convocation as closed.
- (p) National Anthem.
- (q) The Academic Procession shall then leave the Convocation hall in the reverse order as it entered.

16.6. Special Convocation

- (a) A special Convocation may be held for the purpose of conferring Honorary Degree on a distinguished person(s).
- (b) The Academic procession of the special convocation shall be formed in the same order as laid down under clause 16.2 and followed by steps as laid down in clauses 16.3 to 16.5
- (c) The Vice Chancellor shall read out the citation and request the Chancellor to confer the Honorary Degree in the following words:

“I _____ the Vice Chancellor of Sharda University, do hereby request Hon’ble Chancellor that he may be pleased to graciously confer upon _____(Name of the distinguished person) the Degree of ‘HonorisCausa’ forhis / her outstanding services.”

The Chancellor shall confer the Honorary Degree in the following words:

“I confer on _____ (name of the Chief Guest) the degree of Honoris Causa.”

- (d) PROVIDED, that if the Vice Chancellor is presiding over the Special Convocation, the Pro-Vice Chancellor or in his absence the senior most Dean as the case may be, shall read the citation and perform such functions which the Vice Chancellor would have performed.
- (e) The recipient of the Honorary Degree will then present his/ her address.
- (f) The Registrar shall seek the permission of the Chancellor to declare the Convocation closed in the following manner:

“Honourable Chancellor, May I with your permission declare the convocation closed.”

- (g) The Chancellor: **“I permit.”**
- (h) The Registrar: **“I declare the convocation closed.”**
- (i) The Registrar shall request the gathering to rise from their seats for the National Anthem.
- (j) The National Anthem.
- (k) Academic procession will leave in the reverse order as it entered.

16.7 Certificate Distribution Ceremony for International Students

- (a) The Certificate Distribution Ceremony for International Students shall only be held in emergent situations where a group of students may be departing early to their home country after completing all the requirements of their respective degrees.
- (b) The International Relations Division (IRD) will be responsible for conducting these events with the permission of the Vice Chancellor.
- (c) There should be a proper dress code for the participants as proposed by the Director of IRD and agreed upon by the Dean Academic Affairs and the Vice Chancellor.
- (d) The ceremony shall be a sacred occasion and be conducted with grace and adherence to the protocol.

Presentation of the Recipients

- (a) The Chief Guest, Guest(s) of Honour, the Chancellor, the Pro-Chancellor, the Vice Chancellor, Pro-Vice Chancellor(s), Deans, Registrar, and other members shall take their seats at the designated places reserved for them.
- (b) On entering the hall, the recipients and the audience shall stand and remain standing until the dignitaries have taken their seats.
- (c) The Registrar, with the consent of the Chancellor, if he is present, shall declare the ceremony open. In the absence of the Chancellor, the Pro Chancellor, and in his absence the Vice Chancellor shall declare the ceremony open.

- (d) The proceedings of the ceremony shall begin with the recital of the "University Song/KulGeet".
- (e) In case of school-specific certificates, the recipients who are to be awarded certificates shall be presented by the Deans of the respective Schools.
- (f) The Deans of the Schools concerned will direct the recipients of the Degree of the concerned programme to rise from their seats and shall present them to the Chancellor/Pro Chancellor/Vice Chancellor.
- (g) The concerned students will acknowledge by a bow and sit down.
- (h) The Chancellor/Pro Chancellor/Vice Chancellor will request the Chief Guest to present the certificates and awards to the students.
- (i) This will be followed by the address of the Director IRD, Vice Chancellor, Pro Chancellor, Chancellor, Guest(s) of Honour and the Chief Guest.
- (j) The Dean Academic Affairs will thank the Chief Guest and other dignitaries present.
- (k) The Registrar, with the consent of the Chancellor, if present, will declare the ceremony closed. In the absence of the Chancellor, the Pro Chancellor, and in his absence the Vice Chancellor shall declare the ceremony closed.
- (l) National Anthem.

17 APPOINTMENT, EMOLUMENTS, AND OTHER SERVICE CONDITIONS OF THE EMPLOYEES

17.1 Appointment, Emoluments, and Other Service Conditions of Non-Teaching Staff

The qualifications, experience, procedure of selection and the service conditions of appointment of non-teaching employees, shall be as laid down in the Manual of Human Resource Administration, Policies and Procedures, as approved by the Executive Council. The Statutory Officers and other positions shall be governed as per the University Statutes and the Manual of Human Resource Administration, Policies and Procedures.

17.2 The Teachers of the University

The qualifications, experience, procedure of selection and the service conditions of appointment of teaching staff shall be as laid down in Statutes and elaborated in the Manual of Human Resource Administration, Policies and Regulations and as approved

by the Executive Council. The Statutory and other positions shall be governed as per provisions in the University Statutes and Manual of Human Resource Administration, Policies and Regulations.

Teachers of the University shall be of the following categories;

- Appointed teachers of the University.
- Recognized teachers of the University.
- Persons of Academic eminence conferred with the title of Professor Emeritus.

17.2.1 Appointed Teachers of the University:

Employees paid by the University and are appointed as Professors, Associate Professors, and Assistant Professors or otherwise as teachers of the University in the manner prescribed in the University Statutes/ Manual of Human Resource Administration, Policies and Regulations and persons appointed by the University as Honorary, Distinguished or Adjunct Professors.

17.2.2 Recognized Teachers of the University:

The guest/visiting faculty members or subject area experts, recognised by the University for guiding research and/ or delivering expert/special lectures and doing all other academic activities as assigned.

The qualifications of a recognized teacher shall be the same as that of appointed teachers of the University. Any relaxation to eligibility for appointing recognized teachers may be as per the guidelines laid down in Manual of Human Resource Administration, Policies and Regulations.

The period of recognition as a teacher of the University may be as decided at the time of recognition subject to a maximum of three years.

17.2.3 Professor Emeritus:

On a proposal received from the Faculty Board of a School and on the recommendations of the Academic Council as approved by the Executive Council, the Vice Chancellor may confer on a person of academic eminence, the title of Professor Emeritus. Terms of other service conditions to engage the Professor Emeritus shall be as per the provisions laid down in Manual of

Human Resource Administration, Policies and Regulations under heading of
‘Teacher/ Staff appointed beyond the age of Superannuation.’

18. INTERPRETATION

- 18.1 Any question relating to a typographical error, spelling mistake, clarification or interpretation related to any of the provision of these Ordinances shall be referred to the Chairman Executive Council whose decision shall be final and binding.
- 18.2 Notwithstanding anything contained in the Ordinances framed herein, any issue(s) not covered by these Ordinances, or in case of a difference of opinion in the interpretation in respect of any matter, whether expressly provided herein or not, the Vice Chancellor may take such measures as may be considered necessary and expedient by him to do so.

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